



GENERAL ASSEMBLY COMMONWEALTH OF KENTUCKY

2006 REGULAR SESSION

HOUSE BILL NO. 298

WEDNESDAY, FEBRUARY 8, 2006

The following bill was reported to the Senate from the House and ordered to be printed.

RECEIVED AND FILED
DATE March 15, 2006
9:09 am

TREY GRAYSON
SECRETARY OF STATE
COMMONWEALTH OF KENTUCKY
BY Judy Sutherland

AN ACT relating to executive branch lobbying.

Be it enacted by the General Assembly of the Commonwealth of Kentucky:

1 Section 1. KRS 11A.211 is amended to read as follows:

2 (1) Each executive agency lobbyist, employer, and real party in interest shall file with
3 the commission within ten (10) days following the engagement of an executive
4 agency lobbyist, an initial registration statement showing all of the following:

5 (a) The name, business address, and occupation of the executive agency lobbyist;

6 (b) The name and business address of the employer and of any real party in
7 interest on whose behalf the executive agency lobbyist is acting, if it is
8 different from the employer. However, if a trade association or other
9 charitable or fraternal organization that is exempt from federal income
10 taxation under Section 501(c) of the Internal Revenue Code is the employer,
11 the statement need not list the names and addresses of every member of the
12 association or organization, so long as the association or organization itself is
13 listed;

14 (c) A brief description of the executive agency decision to which the engagement
15 relates;

16 (d) The name of the executive agency or agencies to which the engagement
17 relates; and

18 (e) Certification by the employer and executive agency lobbyist that the
19 information contained in the registration statement is complete and accurate.

20 (2) In addition to the initial registration statement required by subsection (1) of this
21 section, each executive agency lobbyist, employer, and real party in interest shall
22 file with the commission, not later than the last day of July of each year, an updated
23 registration statement that confirms the continuing existence of each engagement
24 described in an initial registration statement and that lists the specific executive
25 agency decisions the executive agency lobbyist sought to influence under the

- 1 engagement during the period covered by the updated statement, and with it any
 2 statement of expenditures required to be filed by KRS 11A.216 and any details of
 3 financial transaction required to be filed by KRS 11A.221.
- 4 (3) If an executive agency lobbyist is engaged by more than one (1) employer, the
 5 executive agency lobbyist shall file a separate initial and updated registration
 6 statement for each engagement. If an employer engages more than one (1) executive
 7 agency lobbyist, the employer shall file only one (1) updated registration statement
 8 under subsection (2) of this section, which shall contain the information required by
 9 subsection (2) of this section regarding all executive agency lobbyists engaged by
 10 the employer.
- 11 (4) (a) A change in any information required by subsection (1)(a), (b), (c), (d), or (2)
 12 of this section shall be reflected in the next updated registration statement
 13 filed under subsection (2) of this section.
- 14 (b) Within thirty (30) days following the termination of an engagement, the
 15 executive agency lobbyist who was employed under the engagement shall file
 16 written notice of the termination with the commission.
- 17 (5) Each employer of one (1) or more executive agency lobbyists, and each real party
 18 in interest, shall pay a registration fee of one hundred twenty-five dollars (\$125)
 19 upon the filing of an updated registration statement. All fees collected by the
 20 commission under the provisions of this subsection shall be deposited in the State
 21 Treasury in a trust and agency fund account to the credit of the commission.
 22 These agency funds shall be used to supplement general fund appropriations for
 23 the operations of the commission and shall not lapse. No part of the trust and
 24 agency fund account shall revert to the general funds of this state~~[No registration~~
 25 ~~fee shall be charged for filing a registration statement].~~
- 26 (6) Upon registration pursuant to this section, an executive agency lobbyist shall be
 27 issued a card annually by the commission showing the executive agency lobbyist is

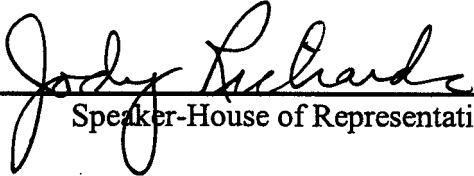
1 registered. The registration card shall be valid from the date of its issuance through
2 the thirty-first day of July of the following year.

3 (7) The commission shall review each registration statement filed with the commission
4 under this section to determine if the statement contains all of the required
5 information. If the commission determines the registration statement does not
6 contain all of the required information or that an executive agency lobbyist,
7 employer, or real party in interest has failed to file a registration statement, the
8 commission shall send written notification of the deficiency by certified mail to the
9 person who filed the registration statement or to the person who failed to file the
10 registration statement regarding the failure. Any person so notified by the
11 commission shall, not later than fifteen (15) days after receiving the notice, file a
12 registration statement or an amended registration statement that includes all of the
13 required information. If any person who receives a notice under this subsection fails
14 to file a registration statement or an amended registration statement within the
15 fifteen (15) day period, the commission may initiate an investigation of the person's
16 failure to file. If the commission initiates an investigation pursuant to this section,
17 the commission shall also notify each elected executive official and the secretary of
18 each cabinet listed in KRS 12.250 of the pending investigation.

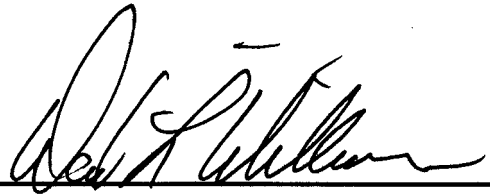
19 (8) In the biennial report published under KRS 11A.110(13), the commission shall, in
20 the manner and form the commission determines, include a report containing
21 statistical information on the registration statements filed under this section during
22 the preceding biennium.

23 (9) If an employer who engages an executive agency lobbyist, or a real party in interest
24 on whose behalf the executive agency lobbyist was engaged is the recipient of a
25 contract, grant, lease, or other financial arrangement pursuant to which funds of the
26 state or of an executive agency are distributed or allocated, the executive agency or
27 any aggrieved party may consider the failure of the real party in interest, the

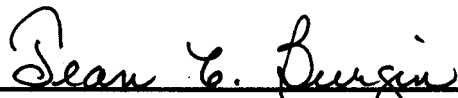
1 employer, or the executive agency lobbyist to comply with this section as a breach
2 of a material condition of the contract, grant, lease, or other financial arrangement.
3 (10) Executive agency officials may require certification from any person seeking the
4 award of a contract, grant, lease, or financial arrangement that the person, his
5 employer, and any real party in interest are in compliance with this section.



Speaker-House of Representatives



President of the Senate

Attest: 

Chief Clerk of House of Representatives

Approved 

Governor

Date 3.14.06